

# NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION TO THE DESIGNATED OFFICES

(PCT Rule 47.1(c), first sentence)

### From the INTERNATIONAL BUREAU

To:

RIGAUT, Kathleen, D. Dann, Dorfman, Herrell and Skillman Suite 720 1601 Market Street Philadelphia, PA 19103 ÉTATS-UNIS D'AMÉRIQUE

11 November 1999 (11.11.9)	9)		
Applicant's or agent's file reference		IA	MPORTANT NOTICE
International application No. PCT/US99/09793	International filing of May 1999	date (day/month/year) 9 (06.05.99)	Priority date (day/month/year) 07 May 1998 (07.05.98)

Applicant

Date of mailing (day/month/year)

RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY et al

1. Notice is hereby given that the International Bureau has communicated, as provided in Article 20, the international application to the following designated Offices on the date indicated above as the date of mailing of this Notice: AU, CN, EP, IL, JP, KP, KR, US

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present Notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:

AE,AL,AM,AP,AT,AZ,BA,BB,BG,BR,BY,CA,CH,CU,CZ,DE,DK,EA,EE,ES,FI,GB,GD,GE,GH,GM,HR, HU,ID,IN,IS,KE,KG,KZ,LC,LK,LR,LS,LT,LU,LV,MD,MG,MK,MN,MW,MX,NO,NZ,OA,PL,PT,RO,RU, SD,SE,SG,SI,SK,SL,TJ,TM,TR,TT,UA,UG,UZ,VN,YU,ZA,ZW
The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the

applicant to furnish a copy of the international application (Rule 49.1(a-bis)).

3. Enclosed with this Notice is a copy of the international application as published by the International Bureau on 11 November 1999 (11.11.99) under No. WO 99/57535

### REMINDER REGARDING CHAPTER II (Article 31(2)(a) and Rule 54.2)

If the applicant wishes to postpone entry into the national phase until 30 months (or later in some Offices) from the priority date, a demand for international preliminary examination must be filed with the competent International Preliminary Examining Authority before the expiration of 19 months from the priority date.

It is the applicant's sole responsibility to monitor the 19-month time limit.

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

# REMINDER REGARDING ENTRY INTO THE NATIONAL PHASE (Article 22 or 39(1))

If the applicant wishes to proceed with the international application in the national phase, he must, within 20 months or 30 months, or later in some Offices, perform the acts referred to therein before each designated or elected Office.

For further important information on the time limits and acts to be performed for entering the national phase, see the Annex to Form PCT/IB/301 (Notification of Receipt of Record Copy) and Volume II of the PCT Applicant's Guide.

The International Bureau f WIPO 34, chemin d s Colombettes 1211 Geneva 20, Switzerland

Authorized officer

J. Zahra

Facsimile No. (41-22) 740.14.35 Telephone No. (41-22) 338.83.38



# ENT COOPERATION TREA

	From the INTERNATIONAL BUREAU
PCT	To:
NOTIFICATION OF ELECTION	Assistant Commissioner for Patents United States Patent and Trademark
(PCT Rule 61.2)	Office Box PCT
	Washington, D.C.20231 ÉTATS-UNIS D'AMÉRIQUE
Date of mailing (day/month/year) 18 January 2000 (18.01.00)	in its capacity as elected Office
International application No. PCT/US99/09793	Applicant's or agent's file reference
International filing date (day/month/year)	Priority date (day/month/year)
06 May 1999 (06.05.99)	07 May 1998 (07.05.98)
Applicant NAULTE Elean et al.	
WHITE, Eileen et al	
The designated Office is hereby notified of its election made	e:
X in the demand filed with the International Preliminary	Examining Authority on:
07 December	1999 (07.12.99)
in a notice effecting later election filed with the Interr	national Bureau on:
2. The election X was	
was not	
made before the expiration of 19 months from the priority ( Rule 32.2(b).	date or, where Rule 32 applies, within the time limit under

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Jean-Marie McAdams

Telephone No.: (41-22) 338.83.38

Facsimile No.: (41-22) 740.14.35





# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> :		(11) International Publication Number	r: WO 99/57535
G01N	A2	(42) International Publication Date:	11 Navarrhan 1000 (11 11 00)
	1	(43) International Publication Date:	11 November 1999 (11.11.99)

(21) International Application Number: PCT/US99/09793

(22) International Filing Date: 6 May 1999 (06.05.99)

(30) Priority Data:

60/084,664 7 May 1998 (07.05.98) US 60/091,391 1 July 1998 (01.07.98) US 60/092,871 15 July 1998 (15.07.98) US 60/107,689 9 November 1998 (09.11.98) US

(71) Applicant (for all designated States except US): RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY [US/US]; Old Queens, Sommerset Street, New Brunswick, NJ 08903 (US).

(72) Inventors; and

- (75) Inventors/Applicants (for US only): WHITE, Eileen [US/US]; 35 Grasmere Way, Princeton, NJ 08540 (US). THOMAS, Anju [US/US]; 5606 Buttonwood Court, Monmouth Junction, NJ 08852 (US). KASOF, Gary [US/US]; 26 Yosemitee Drive, Bear, DE 19701 (US). GOYAL, Lakshmi [US/US]; 101 Lexington Street, Belmont, MA 02478 (US).
- (74) Agents: RIGAUT, Kathleen, D. et al.; Dann, Dorfman, Herrell and Skillman, Suite 720, 1601 Market Street, Philadelphia, PA 19103 (US).

(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

### **Published**

Without international search report and to be republished upon receipt of that report.

(54) Title: RECOMBINANT CELL LINE AND SCREENING METHOD FOR IDENTIFYING AGENTS WHICH REGULATE APOPTOSIS AND TUMOR SUPPRESSION

### (57) Abstract

This invention provides recombinant cell lines and screening methods useful for identifying agents that induce apoptosis in target cells and therefore may be used to advantage in the treatment of neoplastic disorders.

# FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΑU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
ΑZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JР	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

	SSIFICATION OF SUBJECT MATTER					
IPC(6) :	B01J 23/00, 23/40; G01N 33/48					
US CL :	435/326.5, 320.1; 436/64 International Patent Classification (IPC) or to both	national classification and IPC				
	DS SEARCHED					
	ocumentation searched (classification system followed	i by classification symbols)				
l .	435/326.5, 320.1; 436/64		ļ			
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched						
			· ·			
		C. L. a. b	nearth terms used)			
Electronic d	ata base consulted during the international search (na	ame of data base and, where practicable	s, scarcii wiina uaw)			
WEST, A	APS, DIALOG					
C. DOC	umėnts considered to be relevant					
Category*	Citation of document, with indication, where ap	propriate, of the relevant passages	Relevant to claim No.			
Y	MANNERVIK, M. et al. The transcr	iptional co-activator proteins	1-3			
1	p300 and CBP stimulate adenovirus	E1A conserved region 1				
	transactivation independent of a direct	interaction. FEBS Letters.				
	1997, Vol. 414, pages 111-116, especi	ially 111.	·			
Y	ARNANY, Z. et al. An essential role	for p300/CBP in the cellular	1-3			
	response to hypoxia. Proceedings of	of the National Academy of				
	Sciences, USA. November 1996, Vo	1. 93, No. 23, pages 12909-				
	12973, especially page 12969.					
X Furti	ner documents are listed in the continuation of Box C					
	ocial categories of cited documents:	*T* later document published after the int date and not in conflict with the app	lication but cited to understand			
'A' do	cument defining the general state of the art which is not considered be of particular relevance	the principle or theory underlying th	o invention			
.E. ce	rlier document published on or after the international filing date	"X" document of particular relevance; the considered novel or cannot be considered.	ered to involve an inventive step			
•F• qo	cument which may throw doubts on priority claim(a) or which is ad to establish the publication date of another citation or other	when the document is taken alone	a alaimed invention connect ha			
\$P	ecial reason (as specified)	"Y" document of particular relevance; the considered to involve an inventive combined with one or more other suc	step when the document is			
	cument referring to an oral disclosure, use, exhibition or other	being obvious to a person skilled in	the ert			
	cument published prior to the international filing date but later than a priority date claimed	*& document member of the same pater				
Date of the	actual completion of the international search	Date of mailing of the international se	arch report			
23 OCTO	DBER 1999	<b>09</b> NOV 1999				
Name and	mailing address of the ISA/US	Audiolized Officei	OYCE BRIDGERS			
Commission Box PCT	ner of Patents and Trademarks		LEGAL SPECIALIST LEMICAL MATRIX			
Washingto	n, D.C. 20231	,	The state of the s			
Facsimile 1	No. (703) 305-3230	Telephone No. (703) 308-0196 (	Mr. I			



# INTERNATIONAL SEARCH REPORT

International application No. PCT/US99/09793

C (Continue	uion). DOCUMENTS CONSIDERED TO BE RELEVANT	
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y,P	THOMAS, A. et al. Suppression of the p300-dependent mdm2 negative-feedback loop induces the p53 apoptotic function. Genes and Development. 01 July 1998, Vol. 12, pages 1975-1985, especially page 1975.	1-3
Y	US 5,691,179 A (KORSMEYER) 25 November 1997, whole document.	4-6
A,E	KOSOF, G. M. Btf, a Novel Death-Promoting Transcriptional Repressor That Interacts with Bcl-2 Related Proteins. Molecular and Cellular Biology. June 1999, Vol.; 19, No. 6, pages 4390-4404, especially page 4390.	4-8

# PATENT COOPERATION TREATY

# **PCT**

MEC'D 19 JUL 2003 WIPO

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

DITT OF AREA	I FOR FURTHER ACTION	otification of Transmittal of International
RUT 98-0058		nary Examination Report (Form PCT/IPEA/416)
international application No.	International filing date (day/month/year	
PCT/US99/09793	06 MAY 1999	07 MAY 1998
nternational Patent Classification (IPC) of Please See Supplemental Sheet.	or national classification and IPC	
Applicant RUTGERS, THE STATE UNIVERSITY	Y OF NEW JERSEY	
Examining Authority and is a  2. This REPORT consists of a t  This report is also accomp been amended and are the	transmitted to the applicant according total of sheets.  panied by ANNEXES, i.e., sheets of the debasis for this report and/or sheets contains	escription, claims and/or drawings which have ning rectifications made before this Authority
(see Rule 70.16 and Section These annexes consist of a total	ion 607 of the Administrative Instruction tal of sheets.	ns under the PCT).
3. This report contains indication	s relating to the following items:	
I X Basis of the repor	rt	
II Priority	,	
III Non-establishmen	t of report with regard to novelty, inv	entive step or industrial applicability
IV Lack of unity of	invention	
	nt under Article 35(2) with regard to nov nations supporting such statement	velty, inventive step or industrial applicability
VI Certain documents	cited	
VII Certain defects in the	he international application	
	s on the international application	
VIII Certain observation		
VIII Certain observation	•	
VIII Certain observation	:	
VIII Certain observation	:	
VIII Certain observation		

Date of submission of the demand	Date of completion of this report
07 DECEMBER 1999	19 JUNE 2000
Name and mailing address of the IPEA/US  Commissioner of Patents and Trademarks Box PCT  Washington, D.C. 20231	JENNIFER NICHOLS NEE HUNT
Facsimile No. (703) 305-3230	Telephone No. (703) 308-0196

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US99/09793

L B	asis of th	e report		
1. With	regard to	the elements of the interna	ational application:*	
x	_	mational application as		
		cription:	, originari, 1110a	
X	pages _	•		, as originally filed
'	nages _	MONTE		
	pages _	NONE	, filed with the letter of	
	F-6		,	
x	the clair			
	pages _			, as originally filed
	pages _		, as amended (together	•
	pages _			, filed with the demand
	pages _	NONE	, filed with the letter of	
ত	the drav	vinas:		
X	pages _			, as originally filed
	pages _			
	pages _	NONE	, filed with the letter of	, mos with the domains
			, , ,	
X		ence listing part of the d		
_	pages _	1-4		, as originally filed
	pages _	NONE		, filed with the demand
	pages _	NONE	, filed with the letter of	
	the lange	uage of publication of	rnished for the purposes of international the international application (under Ru- nished for the purposes of international prel	` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` `
	th regard	examination was carried	l out on the basis of the sequence listing	international application, the international
			pplication in printed form.	
X	filed tog	ether with the internati	ional application in computer readable	form.
	furnishe	d subsequently to this	Authority in written form.	
	furnishe	d subsequently to this	Authority in computer readable form.	
	The state	ement that the subsequent onal application as filed	ntly furnished written sequence listing do has been furnished.	es not go beyond the disclosure in the
		ment that the information	recorded in computer readable form is ide	entical to the writen sequence listing has
4. X	The ame	endments have resulted	in the cancellation of:	
	X th	e description, pages	None	
		e claims, Nos.	None	
	$\overline{}$	e drawings,sheets <del>/fig</del>		
د ات		•		
5. X			some of) the amendments had not been made	
in th	lacement si	heets which have been fur	indicated in the Supplemental Box (Rule 70 sished to the receiving Office in response to a are not annexed to this report since they	n invitation under Article 14 are referred to
	•	ent sheet containing such	h amendments must be referred to under i	tem 1 and annexed to this report.



# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US99/09793

. statement		·	
Novelty (N)	Claims	2, 4-8	YE
	Claims	1,3	_ NO
Inventive Step (IS)	Claims	4-8	YE
•	Claims	1-3	_ NO
Industrial Applicability (IA)	Claims	1-8	YE
	Claims	NONE	_ NC
citations and explanations (Rule	70.7)		
Claims 1 and 3 lack novelty under PCT Arti	cle 33(2) as bei	ng anticipated by Mannervik et al. (FEBS Letters 414, 199	97).
responsive promoter operably linked to a secon are assessed for repression of the p300 respo	nd reporter gene insive promoter.		n p300 he cells
responsive promoter operably linked to a second are assessed for repression of the p300 responsive promoter process.  Claims 1-3 lack an inventive step under PCT Claim 2 adds the limitation of the addition of addition of protein, it would be an obvious v	Article 33(2)-(3)	and a third plasmid expressing a selectable marker gene. The selectable marker gene. T	n p300 he cells 1997).
responsive promoter operably linked to a secondare assessed for repression of the p300 responsive promoter and the p300 responsive promoter position of the addition of addition of protein, it would be an obvious volume 4-8 meet the criteria set out in PCT A	Article 33(2)-(3) a pathway prior	and a third plasmid expressing a selectable marker gene. The selection of the selectable marker gene. The selectab	he cells 1997).
responsive promoter operably linked to a secondare assessed for repression of the p300 responsive process.  Claims 1-3 lack an inventive step under PCT Claim 2 adds the limitation of the addition of addition of protein, it would be an obvious volume of Bcl-2 and Btf in an apoptosis	Article 33(3) a f wild type p300 ariation to add a Article 33(2)-(3) a pathway prior Article 33(4) for	and a third plasmid expressing a selectable marker gene. The selection of the selectable marker gene. The selectab	n p300 he cells 1997).
responsive promoter operably linked to a secondare assessed for repression of the p300 responsive promoters.  Claims 1-3 lack an inventive step under PCT Claim 2 adds the limitation of the addition of addition of protein, it would be an obvious volume of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set	Article 33(3) a f wild type p300 ariation to add a Article 33(2)-(3) a pathway prior Article 33(4) for	and a third plasmid expressing a selectable marker gene. The selection of the selectable marker gene. The selectab	n p300 he cells 1997).
responsive promoter operably linked to a secondare assessed for repression of the p300 responsive promoter and a secondary secondary.  Claims 1-3 lack an inventive step under PCT Claim 2 adds the limitation of the addition of addition of protein, it would be an obvious vector of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1	Article 33(3) a f wild type p300 ariation to add a Article 33(2)-(3) a pathway prior Article 33(4) for	and a third plasmid expressing a selectable marker gene. The selection of the selectable marker gene. The selectab	n p300 he cells 1997).
responsive promoter operably linked to a secondare assessed for repression of the p300 responsive promoter and a secondary secondary.  Claims 1-3 lack an inventive step under PCT Claim 2 adds the limitation of the addition of addition of protein, it would be an obvious vector of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1-8 meet the criteria set out in PCT Acceptable 1	Article 33(3) a f wild type p300 ariation to add a Article 33(2)-(3) a pathway prior Article 33(4) for	and a third plasmid expressing a selectable marker gene. The selection of the selectable marker gene. The selectab	n p300 he cells 1997).
responsive promoter operably linked to a secondare assessed for repression of the p300 responsive promoter and a secondary secondary.  Claims 1-3 lack an inventive step under PCT Claim 2 adds the limitation of the addition of addition of protein, it would be an obvious vector of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims	Article 33(3) a f wild type p300 ariation to add a Article 33(2)-(3) a pathway prior Article 33(4) for	and a third plasmid expressing a selectable marker gene. The selection of the selectable marker gene. The selectab	n p300 he cells 1997).
responsive promoter operably linked to a secondare assessed for repression of the p300 responsive promoter and a secondary secondary.  Claims 1-3 lack an inventive step under PCT Claim 2 adds the limitation of the addition of addition of protein, it would be an obvious vector of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims	Article 33(3) a f wild type p300 ariation to add a Article 33(2)-(3) a pathway prior Article 33(4) for	and a third plasmid expressing a selectable marker gene. The selection of the selectable marker gene. The selectab	n p300 he cells 1997).
responsive promoter operably linked to a secondare assessed for repression of the p300 responsive promoter and a secondary secondary.  Claims 1-3 lack an inventive step under PCT Claim 2 adds the limitation of the addition of addition of protein, it would be an obvious vector of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims 1-8 meet the criteria set out in PCT Accombination of Bcl-2 and Btf in an apoptosis Claims	Article 33(3) a f wild type p300 ariation to add a Article 33(2)-(3) a pathway prior Article 33(4) for	and a third plasmid expressing a selectable marker gene. The selection of the selectable marker gene. The selectab	n p300 he cells 1997).



# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US99/09793

Supplemental B x

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 10

**CLASSIFICATION:** 

The International Patent Classification (IPC) and/or the National classification are as listed below: IPC(7): G01N 33/48; C12N 5/06, 5/16, 15/00, 15/09, 15/63, 15/70, 15/74 and US C1.: 435/326.5, 320.1; 436/64

I. BASIS OF REPORT:

5. (Some) amendments are considered to go beyond the disclosure as filed:  ${\bf NONE}$ 

# FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΑU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
ΑZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

# IRES Bicistronic Expression Vectors for Efficient Creation of Stable Mammalian Cell Lines

Vanessa Gurtu,\* Guochen Yan,† and Guohong Zhang,\*,1

\*CLONTECH Laboratories, Inc., 1020 East Meadow Circle, Palo Alto, California 94303; and †Sugen, Inc., 515 Galveston Drive, Redwood City, California 94063

Received October 9, 1996

Stable transfection of mammalian cells is a widely used technique for the study of gene expression and protein purification. However, selection of cell lines expressing desired genes from a large number of candidate clones is often labor-intensive and time consuming. To improve the efficiency of stable cell line production, we have used a bicistronic mammalian expression vector, pIRES1hyg, which contains the internal ribosome entry site (IRES) of the encephalomyocarditis virus (ECMV). The IRES element permits the translation of two open reading frames from one messenger RNA: one reading frame encoding the recombinant protein of interest and the other an antibiotic resistant marker (e.g. hygromycin). We demonstrate that the use of the bicistronic vector significantly facilitates the creation of stable mammalian cell lines, because all selected antibiotic-resistant colonies express the recombinant gene of interest. Therefore, the use of the pIRES1hyg bicistronic vector for stable transfection eliminates the need to screen large numbers of colonies to find functional clones. We conclude that the IRES bicistronic vector provides a powerful tool for efficient selection of stable transformants in mammalian cells. © 1996 Academic Press, Inc.

Standard methods used to generate stable cell lines require transfecting a host cell line with two expression cassettes, one expressing the protein of interest and the other an antibiotic resistance marker for selection. These cassettes can be introduced into the host cell either by cotransfecting two plasmids each of which contain one of the expression cassettes, or by transfecting one plasmid containing both cassettes. Typically, after transfection and selection, approximately 5-30% of the cells functionally express the recombinant protein of interest (4,5). These relatively low frequencies can be due to many factors including deletion or inactivation of the cassette expressing the gene of interest, or, in the case of cotransfection, the stable integration of only the cassette expressing the selectable marker. Therefore, further screening of selected colonies specific for expression of the gene of interest is often necessary in order to find functional clones. Additionally, the level of gene expression using these standard methods cannot be predicted; expression is generally low and, because the selective pressure is only on the cassette that expresses the antibiotic resistance marker, expression levels can decrease over time in culture.

Unlike most eukaryotic mRNA in which ribosomes scan from the 5' end until the initiation codon is reached, ribosomes are able to begin translation at internal ribosome entry sites (IRES) in messenger RNA of the picornaviruses (2, 3), such as encephalomyocarditis virus (ECMV). These IRES elements can be removed from their viral setting and linked to unrelated genes to produce polycistronic RNAs. In this report, we demonstrate the utility of the IRES containing bicistronic expression vector, pIRES1hyg, for the rapid and efficient generation of stable mammalian cell lines.

<sup>&</sup>lt;sup>1</sup> Corresponding author. Fax: (415) 354-0776.

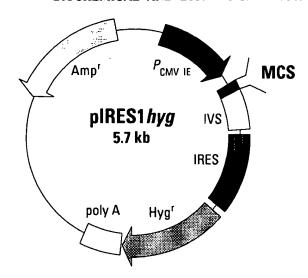


FIG. 1. Map of bicistronic expression vector, pIRES1hyg. The multiple cloning site (MCS) contains BamHI, BstXI, EcoRI and NotI sites. The internal ribosome entry site (IRES) permits the translation of two open reading frames from one messenger RNA (2, 3).

### MATERIALS AND METHODS

Cell culture and reagents. CHO-K1 cells (ATCC, Rockville, MD, USA) were cultured in Ham's F-12 medium supplemented with 10% fetal bovine serum (FBS), 100 units/ml of penicillin and 100  $\mu$ g/ml of streptomycin. Media, sera, and other supplements were purchased from Sigma Chemical Co. (St. Louis, MO). Cultures were maintained at 37°C with 5% CO<sub>2</sub>/95% air.

Vector construction. All DNA manipulations were performed using standard methods (5) unless otherwise described. The pIRES1hyg expression vector was constructed by a method described previously (4). The pIRES1hyg- $\beta$ gal expression vector was constructed and used to evaluate the utility of the bicistronic expression vector for the rapid and efficient production of stable mammalian cells. In the construction, the E. coli LacZ gene was excised by enzyme restriction in the Not I site of the pCMV $\beta$  expression vector (CLONTECH) and inserted into the pIRES1hyg vector through the same restriction site.

Transfection and stable selection. Transfection of pIRES1hyg was performed in CHO-K1 cells using CLONfectin transfection reagent (CLONTECH). Briefly,  $8 \times 10^5$  cells were seeded in 60-mm tissue culture plates one day prior to transfection. The cultures were 60-80% confluent at the time of transfection. Cells were transfected with 6  $\mu$ g plasmid DNA per plate for 2 hours. After 48 hour incubation in the appropriate growth medium, 200-600  $\mu$ g/ml of hygromycin was added to the culture and selection was performed for 7-10 days.

In situ  $\beta$ -galactosidase staining of selected culture. Following selection, the remaining cultures were trypsinized and seeded into a new culture dish.  $\beta$ -galactosidase expression was detected by in situ staining using the X-gal substrate as described previously (6). Briefly, cells were rinsed in phosphate-buffered saline (PBS), fixed in 2% formaldehyde and 0.2% glutaraldehyde in PBS, rinsed twice with PBS, and stained for 2 hours with 0.1% X-gal in PBS containing 5 mM potassium ferricyanide and 2 mM MgCl<sub>2</sub>. Cells were photographed on a Leica Leitz light Microscope (Leica Inc., Foster City, CA).

# RESULTS AND DISCUSSION

To improve upon the quality and efficiency of producing stable mammalian cell lines, we have tested an IRES containing bicistronic mammalian expression vector, pIRES1hyg (Fig. 1). The pIRES1hyg expression cassette contains the human cytomegalovirus (CMV) major immediate early promoter/enhancer followed by a multiple cloning site (MCS), a synthetic intron known to enhance the stability of the mRNA (1), the EMCV IRES followed by the hygromycin gene, and the polyadenylation signal of the bovine growth hormone. The IRES element permits translation of two open reading frames: one encodes the recombinant protein of interest and the other an antibiotic resistant marker. As shown in Fig. 2, ribosomes can enter the bicistronic mRNA either at the 5' end to translate the gene of interest or at the ECMV IRES to translate the antibiotic resistance marker.

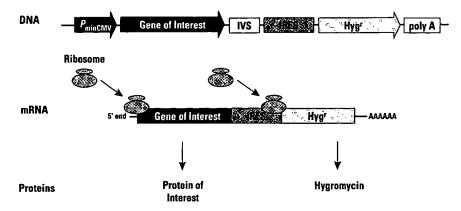


FIG. 2. Schematic diagram of the translation of a bicistronic mRNA. The open reading frames of a protein of interest and an antibiotic selection marker can be translated from the same mRNA by different ribosomes. IVS, intron; hyg, hygromycin.

To demonstrate the utility of the vector for efficient production of stable mammalian cell lines, we cloned the *E. coli LacZ* gene into the pIRES1hyg expression vector, pIRES1hyg- $\beta$ gal. In situ detection of  $\beta$ -galactosidase ( $\beta$ -gal) expression was performed in CHO-K1 cells following transfection and hygromycin selection. We found that the percentage of  $\beta$ -gal positive staining cells depends on the amount of hygromycin added to the culture medium. At low concentrations of hygromycin (200-300  $\mu$ g/ml), often less than 50% of the selected colonies expressed sufficient levels of  $\beta$ -galactosidase for detection. However, when the concentration of hygromycin was increased to 600  $\mu$ g/ml, all of the surviving colonies visualized expressed sufficient levels of  $\beta$ -galactosidase for in situ detection (Fig. 3). These results demonstrate that using high doses of antibiotics selected only the cells that express sufficient high levels of  $\beta$ -galactosidase for detection.

Figure 4 shows a representative culture selected using 600  $\mu$ g/ml of hygromycin. After 10

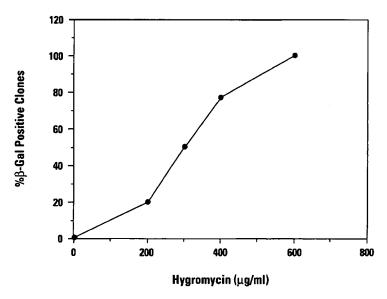
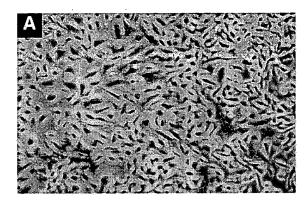


FIG. 3. Hygromycin dose-dependent selection of  $\beta$ -gal expressing clones. CHO-K1 cells were transfected with the pIRES1hyg- $\beta$ gal expression vector as described in the Methods. Various concentrations of hygromycin were added to cultures 48 hours post-transfection and selection was performed for 7-10 days. In situ  $\beta$ -gal staining was performed as described in Methods. Generally, 4-6 representative fields for each selection were scored for  $\beta$ -gal expression under a Leica light microscope (Leica Inc., Foster City, CA).



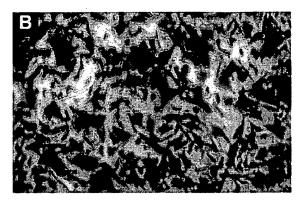


FIG. 4. Visualization of CHO-K1 cells stably transfected with the pIRES1hyg- $\beta$ gal bicistronic vector. CHO-K1 cells were transfected with pIRES1hyg- $\beta$ gal using CLONfectin transfection reagent as described in Methods. Antibiotic selection and in situ  $\beta$ -galactosidase staining were performed as described in Methods.  $\beta$ -gal staining with the selected culture shows that essentially all of the cells which survived selection express  $\beta$ -galactosidase (Panel B). Untransfected cultures do not stain for  $\beta$ -gal (Panel A).

days of selection with hygromycin, cells were trypsinized and seeded into a new culture dish. All of the surviving cells expressed  $\beta$ -galactosidase as shown by in situ  $\beta$ -gal staining. These results further demonstrate the effectiveness of the IRES bicistronic expression vector in the creation of functional stable cell lines. Thus, the IRES bicistronic mammalian expression vector provides an excellent system for rapidly producing stable cell lines. In addition, the IRES bicistronic expression vector has the potential to produce stable cell lines with high-level expression of the gene of interest. Since the selective pressure provided by the antibiotic is on the entire expression cassette, a high dose of antibiotic will select only for those cells expressing a high level of the gene of interest (4).

Unless a pure population of cells is required for expression experiments, the pIRES1hyg bicistronic expression vector allows researchers to use the pool of cells surviving selection, typically following 7-10 days in culture. This saves considerable time and manpower during the generation of a stable cell line, and eliminates the need for screening a large number of colonies to identify positive clones. Taken together, these studies indicate that the IRES bicistronic expression vector provides a powerful tool for the rapid and efficient production of stable mammalian cell lines.

# **ACKNOWLEDGMENTS**

We express our appreciation to Dr. S. Rees for constructing the pIRES1hyg vector and Dr. Paul Kitts for helpful information on IRES elements. We thank Nicola Zahl, Marion Kerr and Angela Law for preparation of the figures. We gratefully acknowledge Nicola Zahl, Dr. Paul Diehl, Dr. Steven R. Kain, and Dr. John Ambroziak for critical reading of this manuscript and useful discussion.

# REFERENCES

- 1. Huang, T. F. M., and Gorman, C. M. (1990) Nucleic Acids Res. 18, 937-947.
- 2. Jackson, R. J., Howell, M. T., and Kaminski, A. (1990) Trends Biochem. Sci. 15, 477-483.
- 3. Jang, S. K., Krausslich, H., Nicklin, M. J. H., Duck, G. M., Palmenberg, A. C., and Wimmer, E. (1988) *J. Virol.* 62, 2636-2643.
- 4. Rees, S., Coote, J., Stables, J., Goodson, S., Harris, S., and Lee, M. G. (1996) BioTechniques 20, 102-110.
- 5. Sambrook, J., Fritsch, E. F., and Maniatis, T. (1989) Molecular Cloning: A Laboratory Manual, 2nd ed., Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY.
- 6. Alam, J., and Cook, J. L. (1990) Anal. Biochem. 188, 245-254.

# An essential role for p300/CBP in the cellular response to hypoxia

Zoltàn Arany\*†, L. Eric Huang†‡, Richard Eckner\*, Shoumo Bhattacharya\*, Chian Jiang‡, Mark A. Goldberg‡, H. Franklin Bunn‡, and David M. Livingston\*§

\*The Dana-Farber Cancer Institute and Harvard Medical School, Boston, MA 02115; and ‡Division of Hematology, Department of Medicine, Brigham and Women's Hospital and Harvard Medical School, Boston, MA 02115

Contributed by David M. Livingston, August 22, 1996

**ABSTRACT** p300 and CBP are homologous transcription adapters targeted by the E1A oncoprotein. They participate in numerous biological processes, including cell cycle arrest, differentiation, and transcription activation. p300 and/or CBP (p300/CBP) also coactivate CREB. How they participate in these processes is not yet known. In a search for specific p300 binding proteins, we have cloned the intact cDNA for HIF-1 $\alpha$ . This transcription factor mediates hypoxic induction of genes encoding certain glycolytic enzymes, erythropoietin (Epo), and vascular endothelial growth factor. Hypoxic conditions lead to the formation of a DNA binding complex containing both HIF-1\alpha and p300/CBP. Hypoxia-induced transcription from the Epo promoter was specifically enhanced by ectopic p300 and inhibited by E1A binding to p300/CBP. Hypoxia-induced VEGF and Epo mRNA synthesis were similarly inhibited by E1A. Hence, p300/CBP-HIF complexes participate in the induction of hypoxia-responsive genes, including one (vascular endothelial growth factor) that plays a major role in tumor angiogenesis. Paradoxically, these data, to our knowledge for the first time, suggest that p300/ CBP are active in both transformation suppression and tumor development.

Tumor expansion beyond a certain size requires neovascularization. This process is mediated, at least in part, by local hypoxia-induced production of angiogenic factors, such as vascular endothelial growth factor (VEGF) (1, 2). Hypoxia activates the heterodimeric transcription factor hypoxia-inducible factor (HIF)-1 (3). HIF-1 is composed of  $\alpha$  and  $\beta$  subunits, both of which belong to the basic helix-loop-helix (bHLH)-per-arnt-sim (PAS) protein family (3). HIF-1 binds DNA at conserved promoter/enhancer-linked HIF sites and stimulates transcription of hypoxia-responsive genes such as VEGF, erythropoietin (Epo), and various glycolytic enzymes (3). How HIF-1 activates transcription, however, is not yet understood.

Adenovirus E1A-binding p300 and CREB-binding protein (CBP) are homologous transcriptional adaptor proteins active in multiple transcriptional events (4-7). They are thought to act, at least in part, by acting as signaling conduits between specific DNA-bound transcription factors and the basal transcriptional machinery. For example, when a cell is exposed to cAMP, the heretofore inactive transcription factor, CREB, bound to a cAMP-responsive element, recruits CBP (and perhaps p300) to act as a transcriptional adaptor, and thereby stimulates transcription of cAMP-responsive genes (6, 8-10). Other factors that potentially use p300 and/or CBP (p300/ CBP) as adaptors include jun, fos, c-myb, MyoD, RAR- $\alpha$ , SRC-1, and YY1 (11-16). In addition, p300 and CBP are both physically and functionally targeted by the adenovirus E1A and simian virus 40 large tumor (T) oncoproteins (6, 7, 17). Significantly, the ability of E1A to transform cells into a malignant phenotype requires the integrity of its p300/CBP-

The publication costs of this article were defrayed in part by page charge payment. This article must therefore be hereby marked "advertisement" in accordance with 18 U.S.C. §1734 solely to indicate this fact.

binding domain, implying that targeting these proteins is integral to E1A action (18). This suggests a prominent role for p300/CBP in the suppression of neoplastic transformation.

In an effort to begin to understand the p300 mechanism of action, a search for specific p300-associated proteins was initiated by an interactive protein expression cloning method (19). We used as probe a region of p300 that differs from those which serve as CREB and E1A binding sites. Using this approach, we have identified HIF-1 $\alpha$  and subsequently found that p300/CBP and HIF-1 $\alpha$  exist in a hypoxia-induced DNA-bound complex that appears to signal at multiple hypoxia-activated genes. These data suggest a major role for p300/CBP in the response to oxygen deprivation.

### MATERIALS AND METHODS

Plasmid Constructions. Plasmids encoding glutathione Stransferase (GST) fusion proteins were constructed by PCR amplification of the indicated region of p300, followed by subcloning into pGEX-2TK. C/H1 (aa 300-528) encompasses the first cysteine/histidine-rich region of p300 plus approximately 100 residues on either side. C/H1 $\Delta$  (contains aa 300-345 and 411-528) is equivalent to C/H1 but lacks the actual cysteine/histidine-rich region (present in residues 346-410). Recombinant baculoviruses were constructed using the BaculoGold system (PharMingen), following manufacturer's instructions; the  $\Delta C/H1$  deletion is the same as that in the GST fusion protein noted above. pCMV $\beta$ -HA-HIF-1 $\alpha$  was created by inserting a fragment of HIF-1α cDNA into pCMVβ containing an in-frame 3' hemagglutinin (HA) tag (4). The fragment used contains the complete ORF and the 5' untranslated region.

Expression Cloning. GST fusion protein was synthesized in bacteria, as described elsewhere (19), using pGEX-2TK-p300C/H-1 (described above). The protein was radiolabeled *in vitro* and used to screen a 293 λZAP cDNA library (courtesy of William G. Kaelin, Dana-Farber Cancer Institute), as described (19). Excision of inserts was performed by the manufacturer's instructions (Stratagene).

Cell Culture. U-2 OS human osteosarcoma cells and Hep3B human hepatocellular carcinoma cells were maintained in DMEM/10% fetal calf serum at 37°C in 10% CO<sub>2</sub>/90% air and 5% CO<sub>2</sub>/95% air, respectively. sf9 insect cells were maintained in suspension at 28°C in Grace's insect medium supplemented with lactalbumin, yeastolate (GIBCO/BRL), and 10% fetal calf serum.

Electrophoretic Mobility-Shift Assay (EMSA). Nuclear extracts were prepared and EMSA assays performed as described (20), except that the final dialysis step in the nuclear extract preparation was omitted and gel conditions were as in ref. 21. The wild-type (WT) and mutant (mut) probes were

Abbreviations: Epo, erythropoietin; VEGF, vascular endothelial growth factor; GST, glutathione S-transferase; EMSA, electrophoretic mobility-shift assay.

<sup>†</sup>Z.A. and L.E.H. contributed equally to this work. <sup>§</sup>To whom reprint requests should be addressed. synthesized to match W18 and M18, respectively, in ref. 20. The XRE (xenobiotic response element) and CME (central midline element) probes were synthesized and correspond to sequences GGAGTTGCGTGAGAAGAGCCTGGAGG and AAATTTGTACGTGCCACAGA, respectively.

Monoclonal Antibodies. Monoclonal antibody, OZ15, was raised against a GST fusion protein containing HIF- $1\alpha$  amino acids 530–826 (Z.A. and D.M.L., unpublished results), and monoclonal antibody AC 240 was raised against a GST fusion protein containing CBP amino acids 720-1676 (R.E. and D.M.L., unpublished results).

Transfections. Transfections were performed using the calcium phosphate method. Total cytomegalovirus (CMV)-bearing plasmid per transfection was kept constant at 3  $\mu$ g by using pRC/CMV backbone vector (Invitrogen) as carrier. Luciferase assays were promptly performed using extract quantities normalized to the observed  $\beta$ -galactosidase activity (22). For the experiment in Fig. 3, cells were split into aliquots and incubated for 44 hr prior to lysis and analysis of luciferase activity. Twenty-four hours prior to lysis, one aliquot was exposed to 1% O<sub>2</sub>, while the other remained at 21% O<sub>2</sub>. For the experiment in Fig. 4, cells were transferred, where indicated, into 1% O<sub>2</sub>/100  $\mu$ M CoCl<sub>2</sub> 36 hr after transfection for 12–18 hr.

Northern Blot Analysis. Northern blot analysis with a VEGF probe was performed as described (23).

### RESULTS

The C/H1 Region of p300 Interacts with HIF-1 $\alpha$ . An expression library was probed with a labeled GST fusion protein encompassing the first cysteine/histidine-rich region of p300 (GST-C/H1). The longest clone (which we called CHIP-1) isolated among a set of overlapping cDNAs contained an 826-amino acid ORF predicting a protein bearing basic helix-loop-helix (bHLH) (24) and PAS domains (25).

CHIP-1 cDNA was transcribed and translated *in vitro*, yielding a  $\approx$ 120-kDa product (Fig. 1A, lane 1). This translation product was capable of binding specifically, *in vitro*, to GST-C/H1 produced in bacteria (Fig. 1A, lane 3) and full-length p300 produced in insect cells (Fig. 1B, lane 3). Binding depended on the integrity, in p300, of the first cysteine/histidine-rich region (Fig. 1A and B, lanes 4). Similarly, CHIP-1 expressed in U-2 OS human osteosarcoma cells also bound specifically to full-length p300 produced in insect cells (Fig. 1C). Subsequently, the cloning of the two hypoxia-inducible factor-1 subunits (HIF-1 $\alpha$  and HIF-1 $\beta$ ) (3) led to the realization that CHIP-1 and HIF-1 $\alpha$  are identical.

HIF-1α Interacts with p300/CBP During the Response to Hypoxia. Using an EMSA, we asked whether p300/CBP exists in a stable complex with hypoxia-activated HIF-1 $\alpha$ . Nuclear extracts from both hypoxic and normoxic HeLa cells were prepared, mixed with labeled HIF probe, and electrophoresed in nondenaturing polyacrylamide gels. As shown in Fig. 2A, at least three specific complexes were present in normoxic extracts (lane 6, bands C, i.e., constitutive), and at least two more complexes appeared after oxygen deprivation (lane 7, bands I, i.e., inducible), as reported (28). These complexes were competed by excess unlabeled probe (lane 5) as well as probes containing cross-reacting XRE (xenobiotic response element) (29) and CME (central midline element) (26) sequences (lanes 2 and 3). The XRE sequence is bound by heterodimers of bHLH-PAS proteins (AHR and ARNT/HIF-1β) (27, 29), and the CME sequence is bound by the PAS protein product of the single minded gene (26). The complexes were not competed, however, by excess unlabeled probe containing a mutated HIF site (lane 4) or nonspecific sequences (lane 1). The fastest migrating band appears to be nonspecific, as it is competed by unlabeled excess unrelated oligonucleotide (lane 1).

To investigate for the presence of HIF- $1\alpha$  and p300/CBP in these complexes, we tested antibodies to these proteins as potential supershifting reagents. As shown in Fig. 2, the

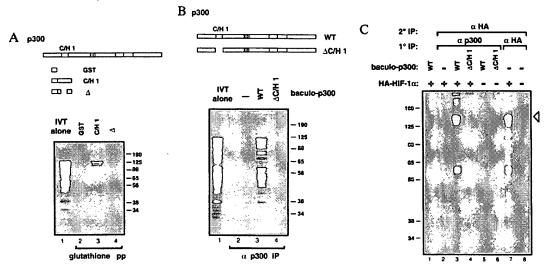


Fig. 1. p300 binds HIF-1 $\alpha$  (28). (A) GST fusion proteins containing the indicated portions of p300 C/H1 were constructed. <sup>35</sup>S-Labeled HIF-1 $\alpha$  was transcribed and translated *in vitro* and mixed with the indicated GST fusion proteins immobilized on glutathione beads. Bead-bound proteins were visualized by SDS/PAGE and autoradiography. (B) Full-length p300 (WT) and p300 deleted within the first cysteine/histidine-rich region (C/H1) were synthesized in insect cells using the baculovirus system. <sup>35</sup>S-labeled HIF-1 $\alpha$  was synthesized *in vitro*, and translation products were mixed with the indicated baculo-p300 species and immunoprecipitated with a p300 antibody [RW128 (4)] using protein-A Sepharose beads. Radiolabeled bead-bound proteins were visualized by SDS/PAGE and autoradiography. Lanes 1 in A and B each contain 20% of the input translation products analyzed in the other lanes. (C) U-2 OS cells were transfected with 10  $\mu$ g of pCMV $\beta$ -HA-HIF-1 $\alpha$  (+) or vector alone (-). Cells were labeled with [<sup>35</sup>S]methionine, and cellular extracts were prepared, mixed (where indicated) with the relevant baculovirus-p300 species, and then immunoprecipitated with either anti-p300 or anti-HA antibody, as in B. Bead-bound proteins were released by boiling, and reimmunoprecipitated with antibody to the hemagglutinin (HA) epitope (12CA5). Proteins bound to beads in this second round were visualized by SDS/PAGE and autoradiography. The open arrowhead indicates HA-HIF-1 $\alpha$ . The identity of the faster migrating band is not clear but may represent a degradation product. Standard molecular weights (kDa; Sigma) are indicated. IVT, *in vitro* translate; pp, precipitation; IP, immunoprecipitation.

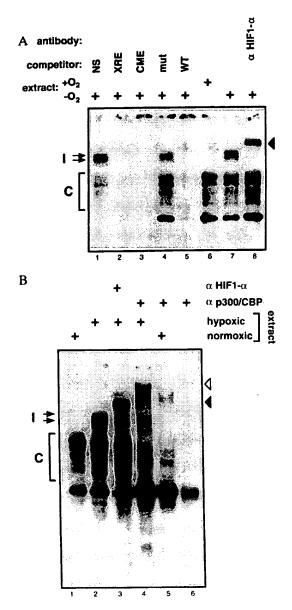


Fig. 2. One or more members of the p300/CBP family interact(s) with HIF- $1\alpha$  in a DNA-bound complex (27). (A) Nuclear extracts from Hep-3B cells were analyzed by EMSA using a HIF-site-containing probe. Extracts in lanes 1-5 and 7-8 were prepared from cells treated for 5 hr with 1% O2, and the extract in lane 6 was prepared from normoxic cells. An 100-fold excess of unlabeled wild-type Epo 3' enhancer probe (WT, lane 5), mutant probe (mut, lane 4), probe containing a central midline element (CME, lane 3), probe containing a xenobiotic response element (XRE, lane 2), or nonspecific probe (NS: myc E2F site, lane 1) were used as competitors. HIF- $1\alpha$  antibody (OZ15) was tested as a potential supershifting reagent in lane 8. In both experiments, free probe was in excess and is not shown. (B) Nuclear extracts from Hep-3B cells were analyzed as in A. Extracts in lanes 2-4 were prepared from cells treated for 5 hr with 1% O<sub>2</sub>. Extracts in lanes 1 and 5 were prepared from normoxic cells, and lane 6 contains no cellular protein. HIF-1 $\alpha$  antibody (OZ15) and p300/ CBP monoclonal antibody (AC240) were analyzed as potential supershifting reagents in lanes 3 and 4-6, respectively. Induced (I) and constitutive (C) complexes are indicated.

hypoxia-induced complexes were supershifted by either the presence of a monoclonal antibody to HIF-1α (solid arrowheads in Fig. 2 A, lane 8, and B, lane 3) or a monoclonal antibody that reacts with both p300 and CBP (open arrowhead in Fig. 2B, lane 4). Hence, after hypoxia, complexes exist in vivo containing both HIF-1 $\alpha$  and at least one member of the p300/CBP family.

We noted that the mobility of a fraction of the fastermigrating constitutive complexes also decreased in the presence of antibody to p300/CBP (Fig. 2B, lanes 4 and 5). These complexes were not supershifted by antibody to HIF-1 $\alpha$  and, therefore, may not contain it. A similar effect was noted with another p300/CBP monoclonal antibody (data not shown). Hence, in both normoxic or hypoxic cells, p300 and/or CBP exist in complexes capable of binding to HIF sites. The detailed nature of the constitutive complexes is unclear, but one might speculate that they contain ATF-1, CREB-1, or AP-1, all of which can bind constitutively to HIF-1 sites (30, 31) and interact with CBP (and possibly p300) (6, 7).

p300 Increases the Inducibility of the Erythropoietin (Epo) Enhancer in Response to Hypoxia. Cotransfection of a reporter gene containing the 3' Epo enhancer with pCMV-p300 resulted in a dose-dependent increase in the ratio of luciferase activity in hypoxic versus normoxic cells (Fig. 3 Left). Specifically, it rose from  $5.3 \pm 1.8$  to  $14.9 \pm 4.8$ . This 3-fold increase in hypoxia induction was dependent on HIF-1, since cotransfection of p300 had no effect on luciferase production from a reporter gene in which the HIF-1 binding site was mutated (Fig. 3 Right). Hence, p300 increases the hypoxia inducibility of the Epo enhancer in a HIF-1-dependent fashion. The effect is most likely limited to 3-fold due to the large quantities of p300 already present in the cell, prior to transfection of pCMV-p300.

E1A Inhibits the Hypoxic Response of Both the Epo Enhancer and the VEGF Gene by Specifically Targeting p300/ CBP. E1A can suppress the transcriptional activity of systems that use p300/CBP (e.g., the cAMP-mediated transcription response), as well as directly inhibit p300 transactivation function (4, 6, 7). If p300/CBP also participates in the HIF-1-mediated hypoxia response, then E1A should inhibit the hypoxia effect as well. To test this hypothesis, we transfected reporter plasmids bearing the luciferase gene under the control of the Epo enhancer linked to a thymidine kinase TATA box. As mentioned above, this enhancer contains a hypoxiaresponsive HIF site. As shown in Fig. 4A, the activity of this reporter was stimulated 4-fold by hypoxia (bars 1 versus 2). This stimulation was completely abrogated by E1A, introduced either by coinfection (bar 3), or by cotransfection (data not shown). This inhibition by E1A was dependent on its ability to

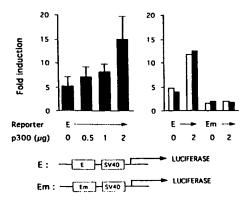


Fig. 3. Cotransfected p300 enhances hypoxic induction of transcription from the Epo enhancer (30). Transient transfection of Hep3B cells was performed with 1  $\mu$ g of a luciferase reporter gene (E) containing the Epo enhancer upstream of the simian virus 40 promoter or one in which the HIF-1 binding site has been mutated (Em). Cells were cotransfected with 0-2  $\mu g$  (*Left*) and 0 or 2  $\mu g$  (*Right*) of pCMV-p300 (4) with 1  $\mu g$  of pCMV-lacZ (to correct for variations in transfection efficiency). The y axis shows the ratio of luciferase expression at 1% O2 to that at 21% O2. Results on the Left are the mean of triplicate experiments ± 1 SD and on the Right are duplicate experimental points.

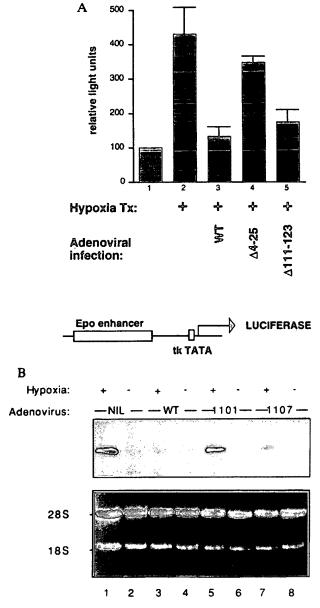


FIG. 4. E1A inhibits hypoxia-driven transcription (31). (A) Hep3B cells were cotransfected with 1  $\mu$ g of pCMV-lacZ and 0.5  $\mu$ g of Epo49-Luc (32) (diagramed below the bar graph) and brought to 16  $\mu$ g of total with Bluescript DNA (Stratagene). Twenty-four hours later, cells were infected with adenovirus type 5 bearing the indicated E1A deletion mutations. The 1101 mutant deletes E1A residues 4–25, while the 1107 mutant deletes residues 111–123. E1A $\Delta$ 111-123 interacts with p300/CBP but not with pRB (33), while E1A $\Delta$ 4-25 does not recognize p300/CBP but does bind to pocket proteins (33). The adenoviruses also bore a mutation (dl520) rendering them unable to synthesize 13S E1A (34). (B) Hep3B cells were infected with either wild-type (wt) or with mutant adenoviruses as in A. After infection, cells were placed in either 1% or 21% O2 for 6 hr; total cellular RNA was extracted, and Northern blots analyses using a VEGF probe were performed.

interact with p300 and CBP: adenovirus encoding a mutant E1A species selectively unable to bind p300 and CBP did not inhibit the hypoxic response (bar 4), while one encoding E1A unable to bind pRB-related proteins, but able to bind p300/CBP, did inhibit (bar 5). E1A had no effect on the reporter in normoxic cells (data not shown). We conclude that E1A abrogates the activation of the Epo enhancer in response to hypoxia and that it does so by targeting p300/CBP. In keeping

with these findings, we also found that E1A was a powerful suppressor of hypoxia-stimulated endogenous Epo mRNA synthesis (L.E.H. and H.F.B., unpublished data).

Finally, we asked whether E1A also inhibits the induction of VEGF mRNA in response to hypoxia. As shown in Fig. 4B, infection of Hep3B cells with wild-type adenovirus markedly inhibited the rise in VEGF mRNA after hypoxia (lane 3). By contrast, a mutant virus encoding an E1A species unable to bind p300 and CBP failed to inhibit the hypoxic response (lane 5), demonstrating that the response is, at least in part, mediated by p300/CBP binding. On the other hand, an E1A species capable of interacting with p300/CBP, but defective in binding to pRB-related proteins, inhibited VEGF mRNA synthesis almost as efficiently as wild-type E1A (lane 7). Hence, as with repression of the Epo enhancer, binding of p300/CBP by E1A correlates well with its ability to repress hypoxia-induced VEGF mRNA levels.

### DISCUSSION

The data presented herein suggest a critical role for p300/CBP in transcriptional regulation of hypoxia-responsive genes. p300/CBP is present in vivo in hypoxia-induced complexes with DNA-bound HIF-1 (Fig. 2), and it can increase the response of the Epo enhancer to hypoxia, in a HIF-1dependent fashion (Fig. 3). Furthermore, functional p300/ CBP is necessary for hypoxic induction of VEGF and Epo (Fig. 4). We conclude that p300/CBP plays a prominent role in the transcriptional response of VEGF and Epo to hypoxia and that, most likely, p300/CBP is also involved in the transcriptional induction of other hypoxia-responsive genes. The simplest model consistent with these data proposes that p300/ CBP act as adaptor proteins, recruited to the HIF site by binding to HIF- $1\alpha$ , after which they stimulate the transcriptional machinery. In this scenario, E1A inhibits hypoxia-responsive transcription by binding to and inactivating p300/CBP. E1A most likely carries this out by directly inhibiting p300/CBP transactivation potential, rather than disturbing the p300/CBP-HIF-1 $\alpha$ complex, since E1A can also inactivate p300 fusion proteins that probably bind to DNA constitutively (6).

As discussed earlier, p300/CBP participate in a growing variety of transcriptional regulatory systems (serum response, myogenesis, hormonal responses, etc.). Given such a wide spectrum of interactive transcription regulation function, one might speculate that p300/CBP act as scaffolds, binding simultaneously to various DNA binding (and other) transcriptional factors, and thereby integrating information from various sources. For example, p300/CBP may mediate, by binding both CREB and HIF- $1\alpha$ , the observed synergism during hypoxia between a cAMP-responsive element and a HIF site in the *LDHA* gene (35). In such a manner, p300/CBP may contribute to the normal integration of multiple incoming signals destined to modulate the behavior of a given promoter.

Of what advantage to the virus is the EIA blockade of hypoxia-responsive gene activation? One possibility is that the response to hypoxia is deleterious to viral replication (36, 37). Neutralization of hypoxia-induced genes might, thus, favor viral production. Alternatively, the effect of E1A may simply be an epiphenomenon of its concomitant effect on a different regulatory system which also involves p300/CBP. For example, p300/CBP are also adaptors in the interferon  $\alpha$  (IFN- $\alpha$ ) pathway (38), which has potent antiviral proliferative effects. Inhibition by E1A of the IFN- $\alpha$  pathway is well documented (39–41). Thus, inhibition by E1A of hypoxia-responsive genes may simply be secondary to its more purposeful inhibition of IFN- $\alpha$ -responsive genes.

The data presented herein imply strongly that p300/CBP contribute to the hypoxia-induced activation of the VEGF promoter and, hence, to VEGF synthesis. Hypoxia-induced elaboration of VEGF is believed to play a pivotal role in

hypoxia-induced angiogenesis and, hence, tumor expansion (1, 2). Thus, p300/CBP, a known transformation-suppressing element, may have the paradoxical feature of supporting tumor progression. In such a setting, the p300/CBP-HIF complex, a hypoxia-specific structure, might become a possible target for rational therapy of tumors and other disorders characterized by aberrant hypoxia-induced neovascularization.

We are indebted to Dr. James DeCaprio for his invaluable help in generating the HIF- $1\alpha$  monoclonal antibodies. We also appreciate Dr. Stan Bayley's generous gift of adenoviruses encoding mutant E1A proteins. This work was supported by National Institutes of Health grants to D.M.L., H.F.B., and M.A.G. and by a grant from the Dana–Farber Cancer Institute/Sandoz Drug Development Program (to D.M.L.). L.E.H., R.E., and S.B. were supported by a National Research Service Award, the Swiss National Science Foundation, and the British Heart Foundation, respectively.

- Shweiki, D., Itin, A., Soffer, D. & Keshet, E. (1992) Nature (London) 359, 843–845.
- Plate, K. H. G., Breier, G., Weich, H. A. & Risau, W. (1992) Nature (London) 359, 845-848.
- Wang, G. L., Jiang, B.-H., Rue, E. A. & Semenza, G. L. (1995) Proc. Natl. Acad. Sci. USA 92, 5510-5514.
- Eckner, R., Ewen, M. E., Newsome, D., Gerdes, M., DeCaprio, J. A., Bentley Lawrence, J. & Livingston, D. M. (1994) Genes Dev. 8, 869-884.
- Arany, Z., Sellers, W. R., Livingston, D. M. & Eckner, R. (1994) Cell 77, 799-800.
- Arany, Z., Newsome, D., Oldread, E., Livingston, D. M. & Eckner, R. (1995) Nature (London) 374, 81-84.
- Lundblad, J. R., Kwok, R. P. S., Laurance, M. E., Harter, M. L. & Goodman, R. H. (1995) Nature (London) 374, 85-87.
- Chrivia, J. C., Kwok, R. P. S., Lamb, N., Hagiwara, M., Montminy, M. R. & Goodman, R. H. (1993) Nature (London) 365, 855-859.
- Kwok, R. P. S., Lundblad, J. R., Chrivia, J. C., Richards, J. P., Bächinger, H. P., Brennan, R. G., Roberts, S. G. E., Green, M. R. & Goodman, R. H. (1994) Nature (London) 370, 223-226.
- Arias, J., Alberts, A. S., Brindle, P., Claret, F. X., Smeal, T., Karin, M., Feramisco, J. & Montminy, M. (1994) *Nature (London)* 370, 226-229.
- 11. Bannister, A. J. & Kouzarides, T. (1995) EMBO J. 14, 4758-4762.
- Bannister, A. J., Oehler, T., Wilhelm, D., Angel, P. & Kouzarides, T. (1995) Oncogene 11, 2509-2514.
- Yuan, W., Condorelli, G., Caruso, M., Felsani, A. & Giordano, A. (1996) J. Biol. Chem. 271, 9009-9013.
- Kamei, Y., Xu, L., Heinzel, T., Torchia, J., Kurokawa, R., Gloss, B., Lin, S. C., Heyman, R. A., Rose, D. W., Glass, C. K. & Rosenfeld, M. G. (1996) Cell 85, 403-414.
- Dai, P., Akimaru, H., Tanaka, Y., Hou, D. X., Yasukawa, T., Kanei, I. C., Takahashi, T. & Ishii, S. (1996) Genes Dev. 10, 528-540.
- Lee, J.-S., Galvin, K. M., See, R. H., Eckner, R., Livingston, D., Moran, E. & Shi, Y. (1995) Genes Dev. 9, 1188-1198.

- Eckner, R., Ludlow, J. W., Lill, N. L., Oldread, E., Arany, Z., Modjtahedi, N., DeCaprio, J. A., Livingston, D. M. & Morgan, J. A. (1996) Mol. Cell. Biol. 16, 3454-3464.
- 18. Moran, E. (1993) Curr. Opin. Genet. Dev. 3, 63-70.
- Kaelin, W. G. J., Krek, W., Sellers, W. R., DeCaprio, J. A., Ajchenbaum, F., Fuchs, C. S., Chittenden, T., Li, Y., Farnham, P. J., Blanar, M. A., Livingston, D. M. & Flemington, E. K. (1992) Cell 70, 351-364.
- Wang, G. L. & Semenza, G. L. (1995) J. Biol. Chem. 270, 1230-1237.
- Barberis, A., Superti-Furga, G. & Busslinger, M. (1987) Cell 50, 347-359.
- Ausubel, F. M., Brent, R., Kingston, R. E., Moore, D. D., Smith, J. A., Seidman, J. G. & Struhl, K. (1989) Current Protocols in Molecular Biology (Greene & Wiley, New York), Vol. 2.
- Goldberg, M. A. & Schneider, T. J. (1994) J. Biol. Chem. 269, 4355–4359.
- Murre, C., Bain, G., van Dijk, M. A., Engel, I., Furnari, B. A., Massari, M. E., Matthews, J. R., Quong, M. W., Rivera, R. R. & Stuiver, M. H. (1994) Biochim. Biophys. Acta 1218, 129-135.
- Huang, Z. J., Edery, I. & Rosbash, M. (1993) Nature (London) 364, 259-262.
- Wharton, K. A. J., Franks, R. G., Kasai, Y. & Crews, S. T. (1994) *Development (Cambridge, U.K.)* 120, 3563–3569.
- Hankinson, O. (1995) Annu. Rev. Pharmacol. Toxicol. 35, 307–340.
- Semenza, G. L. & Wang, G. L. (1992) Mol. Cell. Biol. 12, 5447–5454.
- Matsushita, N., Sogawa, K., Ema, M., Yoshida, A. & Fujii-Kuriyama, Y. (1993) J. Biol. Chem. 268, 21002–21006.
- Kvietikova, I., Wenger, R. H., Marti, H. H. & Gassmann, M. (1995) Nucleic Acids Res. 23, 4542-4550.
- Levy, A. P., Levy, N. S., Wegner, S. & Goldberg, M. A. (1995)
   J. Biol. Chem. 270, 13333-13340.
- Blanchard, K. L., Acquaviva, A. M., Galson, D. L. & Bunn, H. F. (1992) Mol. Cell. Biol. 12, 5373-5385.
- Barbeau, D., Charbonneau, R., Whalen, S. G., Bayley, S. T. & Branton, P. E. (1994) Oncogene 9, 359-373.
- Jelsma, T. N., Howe, J. A., Mymryk, J. S., Evelegh, C. M., Cunniff, N. F. A. & Bayler, S. T. (1989) Virology 171, 120-130.
- Firth, J. D., Ebert, B. L. & Ratcliffe, P. J. (1995) J. Biol. Chem. 270, 21021–21027.
- Naldini, A., Carraro, F., Fleischmann, W. R. J. & Bocci, V. (1993)
   J. Interferon Res. 13, 127–132.
- 37. Baron, S., Porterfield, J. S. & Isaacs, A. (1961) Virology 14, 444-449.
- Bhattacharya, S., Eckner, R., Grossman, S., Oldread, E., Arany,
   Z., D'Andrea, A. & Livingston, D. M. (1996) Nature (London)
   383, 344-347.
- Ackrill, A. M., Foster, G. R., Laxton, C. D., Flavell, D. M., Stark,
   G. R. & Kerr, I. M. (1991) Nucleic Acids Res. 19, 4387-4393.
- Gutch, M. J. & Reich, N. C. (1991) Proc. Natl. Acad. Sci. USA 88, 7913–7917.
- Kalvakolanu, D. V., Bandyopadhyay, S. K., Harter, M. L. & Sen, G. C. (1991) Proc. Natl. Acad. Sci. USA 88, 7459-7463.

- Ferreri, K., Gill, G. & Montminy, M. The cAMP-regulated transcription factor CREB interacts with a component of the TFIID complex. Proc. Natl Acad. Sci. USA 91, 1210–1213 (1994).
- Onate, S. A., Tsai, S. Y., Tsai, M.-J. & O'Malley, B. W. Sequence and characterization of a coactivator for the steroid hormone receptor superfamily. Science 270, 1354–1357 (1995).
- Voegel, J. J., Heine, M. J. S., Zechel, C., Chambon, P. & Gronemeyer, H. TIF2, a 160 kd transcriptional mediator for the ligand-dependent activation function AF-2 of nuclear receptors. EMBO J. 15, 3667– 3675 (1996).
- Fondell, J. D., Ge, H. & Roeder, R. G. Ligand induction of a transcriptionally active thyroid hormone receptor coactivator complex. *Proc. Natl Acad. Sci. USA* 93, 8329–8333 (1996).
- Gu, W., Bhatia, K., Magrath, I. T., Dang, C. V. & Dalla-Favera, R. Binding and suppression of the Myc transcriptional activation domain by p107. Science 264, 251–254 (1994).

Acknowledgements. We thank R. H. Goodman, A. Levine, M. Oren, A. G. Jochemsen, N. C. Jones, A. J. Banister and T. Kouzarides for plasmids; H. Xiao, Y. Tao, L. Wang, S. Stevens and J. D. Fondell for discussions and for critical comments on the manuscript; and Y. Nakatani for sharing unpublished observations. This work was supported by a postdoctoral fellowship from Life Science Foundation for Advanced Cancer Studies to W.G., and by grants from the NIH to R.G.R.

Correspondence and requests for materials should be addressed to R.G.R. (e-mail: roeder@rockvax. rockefeller.edu).

# Binding and modulation of p53 by p300/CBP coactivators

### Nancy L. Lill\*, Steven R. Grossman, Doron Ginsberg\*, James DeCaprio & David M. Livingston

The Dana-Farber Cancer Institute and Harvard Medical School, 44 Binney Street, Boston, Massachusetts 02115, USA

\* Present addresses: Department of Rheumatology and Immunology, Brigham and Women's Hospital, 514 Seeley G. Mudd Building, 250 Longwood Avenue, Boston, Massachusetts 02115, USA (N.L.L.); Department of Molecular Cell Biology, Weizmann Institute of Science, Rehovot, 76100, Israel (D.G.).

The adenovirus E1A and SV40 large-T-antigen oncoproteins bind to members of the p300/CBP transcriptional coactivator family. Binding of p300/CBP is implicated in the transforming mechanisms of E1A and T-antigen oncoproteins. A common region of the Tantigen is critical for binding both p300/CBP and the tumour suppressor p53 (ref. 1), suggesting a link between the functions of p53 and p300. Here we report that p300/CBP binds to p53 in the absence of viral oncoproteins, and that p300 and p53 colocalize within the nucleus and coexist in a stable DNA-binding complex. Consistent with its ability to bind to p300, E1A disrupted functions mediated by p53. It reduced p53-mediated activation of the p21 and bax promoters, and suppressed p53-induced cellcycle arrest and apoptosis. We conclude that members of the p300/ CBP family are transcriptional adaptors for p53, modulating its checkpoint function in the G1 phase of the cell cycle and its induction of apoptosis. Disruption of p300/p53-dependent growth control may be part of the mechanism by which E1A induces cell transformation. These results help to explain how p53 mediates growth and checkpoint control, and how members of the p300/CBP family affect progression from G1 to the S phase of the cell cycle.

When stabilized by Tantigen, p53 was found to bind members of the p300/CBP family (p300, CBP and p400)<sup>1,2</sup>. We investigated whether non-Tantigen-mediated stabilization of p53 enables p53– p300/CBP complexes to be detected. Complex formation was assessed in ts20TGR cells3, which are temperature sensitive in the E1 ubiquitin-activating function, and accumulate stable p53 at 39.5 °C. Endogenously labelled p400 and CBP co-immunoprecipitated with p53 (Fig. 1a, compare lanes 4 and 8 with 2; also data not shown), and p53 co-immunoprecipitated with p300/CBP family members (Fig. 1a, lanes 6 and 7) at the non-permissive temperature. Unlike CBP, p300 co-immunoprecipitated with p53 at both temperatures by p300-specific immunoblotting (Fig. 1b, lanes 4 and 6). suggesting that detection of p300/p53 binding is not dependent on p53 metabolic stabilization. Taken together, the data show that stable p53-p300/CBP family-member complexes form in the absence of T antigen. A comparison of the quantities of p53coprecipitated p300 and total p300 available (Fig. 1b) suggests that ≤1% of total cellular p300 coprecipitated with p53 under the conditions used.

E1A is known to inhibit p53 (transcription-activation function4, and binds to a specific domain (C/H3) of p300/CBP5. Given that p53 also binds p300/CBP family members, we investigated whether E1A inhibits p53 transcription by binding C/H3 and downregulating p300/CBP-mediated p53 coactivation. U-2 OS cells, which synthesize wild-type p53, were transfected with a consensus p53 binding site-containing CAT reporter (PG<sub>13</sub>-CAT), or its mutant counterpart (MG<sub>15</sub>-CAT), which is not responsive to p53 (ref. 6). Wild-type 12S E1A specifically inhibited p53-mediated activation of  $PG_{13}$ -CAT (Fig. 2a). E1A mutant  $\Delta 2$ -36 failed to repress p53dependent transcription. This mutant binds to pRB, but not to p300/CBP family members<sup>7</sup>. The E1A mutant, CXd1, which binds p300/CBP but not proteins of the retinoblastoma family, fully repressed promoter activity. These data indicate that one or more p300/CBP family members may coactivate p53. Mutant E1A Δ26-35 is defective in binding to p400 but not p300 (ref. 8), yet E1A Δ26-35 actively repressed p53-dependent transcription. Hence p400 is not the only p300 family member responsible for p53

PG<sub>13</sub>-CAT and two other p53-responsive reporter plasmids, pWWP-luc, which carries the p21<sup>WAFI/cip1</sup> promoter<sup>9</sup>, and pTM667-3, which carries the bax promoter<sup>10</sup>, were transfected with a p53 expression plasmid into p53-null Saos-2 cells (Fig. 2b–d). Again, E1A repression of p53-mediated transcription activation correlated with its ability to bind p300/CBP family members. Hence the same genetics of E1A repression of p53 transcription activation apply to two naturally occurring p53-activated promoters. Therefore, p300/CBP family members are implicated as modulators of p53-dependent transcription-activation function.

Overproduction of wild-type p300 overrode E1A-mediated repression of PG<sub>13</sub>-CAT (Fig. 2e). The mutant p300 species, del33, which lacks an intact C/H3 domain and cannot bind to E1A<sup>5</sup>, failed to override repression. This is consistent with the view that E1A represses p53-mediated transcription activation by binding p300/CBP family member(s). The data are also consistent with a model in which the C/H3 domain of p300/CBP contributes to p53 coactivation, and E1A inactivation of this domain is relieved through sequestration of E1A by overexpressed p300. C/H3 is also the

Table 1 E1A override of p53-induced G1 cell-cycle arrest and apoptosis

Experiment code		Transfected cells (%)				
	Transfected DNA	sub-G1 (apoptotic)	G1	S	G2/M	
A	pCMV p53 · p53 + wt E1A p53 + E1A △ 2-36	7.24 (17.72 7.30	21.4 43.9 28.8	26.5 14.3 17.1	52.1 41.9 54.2	
В	pCMV p53	7.66 ( 18.04 )	41.4 31.5 47.2	36.4 16.7	39.4 32.0 36.0	
	p53 + wt E1A p53 + E1A Δ 2-36	10:80 _ 16:10	34.5 45.3	29.3 21.5	36.2 33.3	

Results are for Saos-2 cells. The G1, S and G2/M values are percentages of the non-apoptotic transfected cell population.

# Itt rst nature

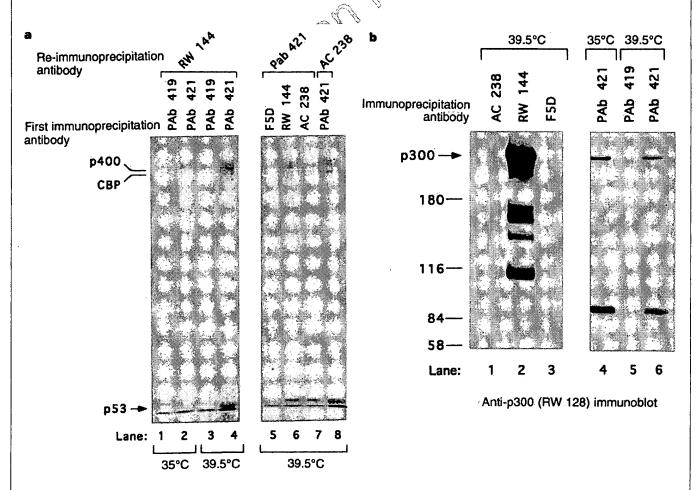
binding site for the histone acetylase P/CAF<sup>11</sup>, suggesting that p53–p300/CBP transcription signalling might involve chromatin remodelling by P/CAF.

To determine whether a p300 family member is a component of a stable p53-DNA-binding complex, electrophoretic mobility shift assays (EMSA) were performed (Fig. 3a), using as the DNA probe a p53-binding site from the p21 promoter, together with nuclear extract from Saos-2 cells producing ectopic p53. A p53-DNA complex was observed (lane B, open arrow). Complex formation was p53 dependent (data not shown) and sequence specific (compare lanes C and D). The complex was specifically supershifted by the p53-specific antibodies pAb 421 (not shown) and pAb 1801 (compare lanes B and E, filled arrow). Anti-p300/CBP antibodies<sup>12</sup> TAP p300L and AC 238 eliminated the p53-DNA complex (lanes F and G). The p300 antibody RW 128 did not perturb the complex (lane H), possibly because of epitope masking. Importantly, AC238 specifically super-supershifted the pAb 1801-supershifted complex (compare lanes J and N, bracketed region). Taken together, these data suggest that nearly all of the DNA-binding p53 detected in this assay is complexed with a p300/CBP family member. E1A failed to disrupt any of these complexes (lanes P, Q and S). Therefore, disruption of the complex is probably not the basis for E1A inhibition of p53-mediated transactivation.

The existence of p53-p300/CBP-family-member complexes was further supported by the intracellular localization of p53 and p300 in cotransfected U-2 OS cells (Fig. 3b-d). Green fluorescent

protein-tagged p53 (GFP-p53) yielded a diffuse nuclear green fluorescence (Fig. 3b, left). Cotransfection of haemagglutinintagged wild-type p300 brought GFP-p53 into nuclear 'dots' (Fig. 3c, left), to which p300 colocalized (Fig. 3c, middle and right). When overproduced, p300 exists in such dots<sup>5,13</sup>; p130 is excluded from them<sup>13</sup>, implying that they are p300 specific. When wild-type E1A was cotransfected with GFP-p53 and epitope-tagged p3000 neither p300 nor p53-containing dots were detected (Fig. 3d, right) and left, respectively). In contrast, cotransfection of  $\Delta 2-36$  ETA, which is unable to bind to p300, together with GFP-p53 and epitope-tapped p300, failed to disrupt either the p300 dots or their recruitment of GFP-p53 (data not shown). Similar results were obtained in Saos-2 cells (data not shown). These data reveal a strong correlation between recruitment of p53 into p300 nuclear dots and the ability of p53 specifically to transactivate certain target promoters, such as p21 and bax.

Finally, we investigated whether two p53-associated biological functions, G1 arrest and induction of apoptosis, were mediated by p300. Overproduction of wild-type p53 in Saos-2 cells induces G1 arrest <sup>14</sup>. This effect was relieved by cotransfection of wild-type 12S E1A, but not by  $\Delta$  2–36 (Table 1). Similarly, wild-type E1A, but not  $\Delta$  2–36 E1A, suppressed p53-induced apoptosis at early times after transfection (Table 1). Therefore, the p300/CBP contribution to p53 transcription function may underlie the ability of p53 to arrest cells in G1 and to induce apoptosis. It has been suggested that p53 can induce apoptosis through two mechanisms, one of which is dependent



**Figure 1** p53-p300 family member complexes form without viral oncoproteins. **a**, Immunoprecipitation/re-immunoprecipitation of <sup>35</sup>S-labelled proteins; ts20TG<sup>R</sup> protein amounts were 2 (39.5 °C) or 3.8 mg (35 °C). Reactions using 35 °C extracts contained half the p53 present in those using 39.5 °C extracts (not shown). **b**, Immunoprecipitation/RW 128 immunoblotting. Immunoprecipitates were

prepared from unlabelled ts20TG<sup>R</sup> extract proteins (35 °C, 25 mg, lane 4; 39.5 °C, 15 mg, lanes 5 and 6). RW 128 immunoblotted p300 (lane 2) but not CBP (lane 1). Lanes 1–3 show immunoprecipitates from 150  $\mu$ g of 39.5 °C extract protein. The protein of ~90K in lanes 4 and 6 is unidentified, and may be a p300 degradation product.

on p53 transcription activation <sup>15,16</sup>. The induction of early apoptosis (<48 h) by p53 is transactivation-domain dependent, whereas late apoptosis (>72 h) is not <sup>16</sup>. E1A-mediated suppression of apoptosis in Saos-2 cells was apparent at 48 h after transfection and was barely observable 72 h after transfection (data not shown). Therefore, p53/p300 complex formation may significantly influence transcription-dependent early apoptosis.

Our results strongly suggest that the ability of p53 to mediate important functions, such as its DNA-damage/G1-checkpoint-activation function, is p300/CBP dependent. p300/CBP function has been linked independently to the control of both G1 exit and genome stability<sup>17,18</sup>. These two regulatory activities probably depend on an effective checkpoint-maintenance function in which p53-p300/CBP complexes seem to be important. It will be interesting to determine whether p300/CBP directly mediate(s) p53 transcription signalling to the core transcription apparatus and more specifically, whether this involves the known interactions<sup>19,20</sup> of p300/CBP and p53 with TBP, TAFs or P/CAF<sup>11</sup>.

Note added in proof: While this manuscript was under review, it was reported that E1A inhibits p53-mediated p21 transactivation and cell-cycle arrest through its p300/CBP-binding domain<sup>30</sup>.

#### Methods

**Plasmids.** Reporters PG<sub>13</sub>-CAT<sup>6</sup>, MG<sub>15</sub>-CAT<sup>6</sup> and pWWP-luc<sup>9</sup>, and wild-type p53 expression vector pC53-SN-3 (ref. 21) were provided by B. Vogelstein. The *bax* reporter, pTM667-3 (ref. 10), was provided by J. Reed. The pCMV12S<sup>22</sup> and

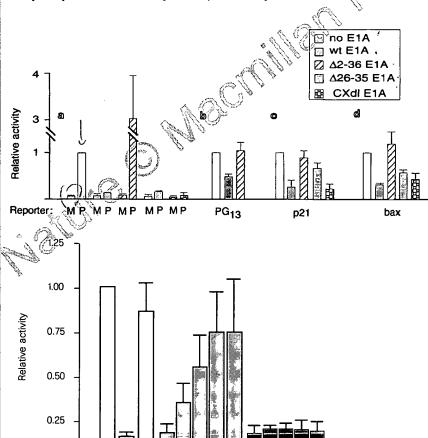
pE1A.CXdl vectors' were provided by E. White. pCMV12S encodes wild-type 12S E1A. The E1A CXdl mutant lacks amino acids 121–150. E1A Δ2–36 and Δ26–35 coding sequences were derived from plasmids provided by E. Moran' and S. Bayley<sup>8</sup>, respectively. The pCD-GFPp53 vector encoding GFP–p53 was generated by insertion of a GFP cassette<sup>23</sup> (provided by P. Silver) into expression vector pCDNA3-p53 (provided by W. G. Kaelin Jr and C. Jost). The CD20 expression vector, pCMVCD20 (ref. 24), was provided by L. Zhu. pBSK has been described (Stratagene, pBluescript SK).

Colls. The ts20TG<sup>R</sup> cells, a gift of H. Ozer, have been described. Saos-2 and U-2 OS human osteosarcoma cell lines were obtained from the American Type Culture Collection.

Antibodies. The anti-p300/CBP ascites used in this study were described previously<sup>5,12</sup>. TAPp300L was provided by M. Ewen. AC 238 and RW 128 ascites recognize both p300 and CBP in EMSA assays<sup>12</sup>. For immunoprecipitations, hybridoma supernatant AC 238 (IgG1) is CBP specific, whereas RW 144 (IgG1) is p300/p400 specific. Hybridoma supernatant RW128 is p300 specific in immunoblotting. The antibodies PAb 421 (IgG2a, anti-p53) and pAb419 (control IgG2a, anti-SV40 T antigen) were provided by E. Harlow. Antimyogenin antibody F5D (control IgG1) was provided by W. Wright. Anti-CD20 antibody was obtained from Dako (M0774). Antibody 12CA5 (BAbCo) recognizes the haemagglutinin epitope. Anti-p53 antibody pAb 1801 was obtained from Santa Cruz.

Immunoprecipitation/re-immunoprecipitation. Experiments were performed as described, using cells incubated at the indicated temperatures for 16 h.

Transfection. Transfections were performed using the calcium phosphate



p300 WT

p300 del33

Figure 2 Genetics of E1A-mediated inhibition of p53responsive reporters. a, U-2 OS cells received 2 µg of reporter (M, MG15, P, PG13), 0.5 µg of E1A expression vector, or equimolar pCMV vector. DNA (7.5 µg) was added to 60-mm dishes. b-d, Saos-2 cells (10-cm dishes) were transfected with 5 µg reporter, 1 µg E1A expression vector, and pC53-SN-3 (pCMV p53; 2 µg for b; 0.5 μg for c and d) or equimolar pCMV vector; 18.5 μg of DNA was added per dish. Reporter plasmids were: b, PG<sub>13</sub>-CAT; c, pWWP-luc; d, pTM667-3. Results are averages of three experiments for a-c, and two experiments for d. For b and d, relative activity in the absence of p53 was less than 0.01. For c, the highest activity in the absence of p53 was 0.13, e. U-2 OS cells (10-cm dishes) were transfected with 5 µg reporter and 0.1 µg E1A expression vector; 17.1 µg DNA was added per dish. Increasing amounts (0.1, 2, 6, 10 and 12 µg) of p300 expression vector were added as shown. Ectopic proteins (wild-type p300 (WT) and p300 del33) were comparably expressed (not shown). Results are averages of three experiments, each with duplicate samples. Error bars, s.d.

MP-15 PG-13

E1 A∆2-36

← CD p53-response element

#### tt rst natur

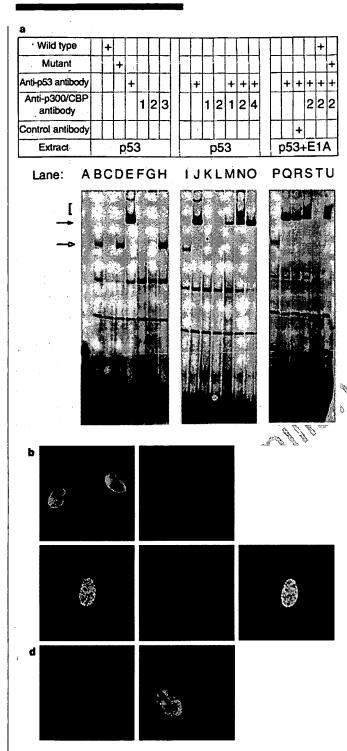


Figure 3 Colocalization of p300 and p53 in DNA-binding complexes and nuclear dots. a, EMSA using a p21 promoter p53-binding site. Saos-2 cells (10-cm dishes) were transfected with pC53-SN-3 (pCMV p53, 0.5  $\mu g$ ), or pC53-SN-3 plus pCMV12S (pCMV wild-type E1A, 1 µg). Probe alone, lane A. Anti-p300/CBP antibodies: 1, TAPp300L; 2, AC 238; 3, RW 128; 4, AC 240. Control antibody, F5D. 'Wild-type' and 'mutant' indicate competitor DNA added. Three gels are shown from a single experiment. b-d, Immunofluorescence of transfected U-2 OS cells. Green, GFP-p53; red, haemagglutinin-tagged p300; yellow, overlapping red and green fluorescence. Transfected DNAs: b, pCD-GFPp53 alone; c, pCD-GFPp53 and CMV-β p300-CHA; d, pCD-GFPp53, CMV-β p300-CHA and pCMV12S (wildtype E1A).

method. Input doses of the pCMV promoter were equalized with pCMV-B, and total DNA was equalized with pBSK.

Reporter assays. Chloramphenicol acetyltransferase (CAT) and luciferase were assayed as described25. Extracts were prepared 44-48 h after transfection. Equal quantities of extract protein were analysed in each assay. Results are given as relative activity, based on the positive control activity (arbitrarily set at 1) observed for each p53-responsive reporter in a given cell type in the presence of p53 and the absence of E1A.

Electrophoretic mobility shift assay. Nuclear extracts26 were prepared from Saos-2 cells approximately 44 h after transfection. For DNA-binding reactions, 4 μg of extract was used. Procedures were similar to those described ?. Reaction mixtures contained 18 µl of binding buffer (50 mM KCl, 20 mM HEPES, pH 7.5, 10 mM MgCl<sub>2</sub>, 10% glycerol, 0.5 mM dithiothreitol, 0.1% Nonidet P-40), plus 1 µg sonicated DNA from salmon testes. The double-stranded p21 oligonucleotide probe (and wild-type competitor) was 5'-AATTCTCGAG-GAACATGTCCCAACATGTTGCTCGAG-3' (ref. 28). The mutant competitor double-stranded oligonucleotide, MG15, was 5'-CCTTAATGGACTT-TAATGGCCTTAATGGACTTTAATGG-3'=(ref. 6). Competitor DNA and/or antibody was incubated with binding buffer for 15 min before nuclear extract was added. Anti-p300/CBP ascites fluids were added at 2 µl per binding reaction. The antibody pAb 1801 was added at 2 µl (0.2 µg) per reaction. Mixtures containing extracts were incubated for 15-20 min at 23 °C before the addition of ling of radiolabelled probe. Competitor DNA was present in a 100fold excessi relative to the labelled probe.

Immunofluorescence. U-2 OS cells in 6-well plates were transfected with (µg DNA per well): 0.1 pCD-GFPp53, 2.0 CMV-β p300-CHA, 0.1 pCMV12S or  $\Delta 2 \stackrel{>}{\sim} 36$ . The total DNA was 6 µg per well. Cells were fixed and immunostained ⇒40 h after transfection<sup>12</sup>. p300-CHA was detected using 12CA5 and rhodamineconjugated secondary antibody.

Cell-cycle and apoptosis analysis. Soas-2 cells were transfected with (µg DNA per 10-cm dish): 0.5 pC53-SN-3, 1.0 pCMV12S or Δ2-36, 1.0 pCMVCD20. Total DNA added per dish was 18.5 µg. Cells were immunostained for cell-surface CD20 and propidium iodide-stained for DNA<sup>29</sup>. For FACS analysis, DNA content of CD20+ cells was evaluated. Cells with sub-G1 content were designated the apoptotic population.

### Received 29 January; accepted 16 May 1997.

- 1. Lill, N. L. et al. p300 family members associate with the carboxyl terminus of simian virus 40 large tumor antigen. J. Virol. 71, 129-137 (1997)
- Eckner, R. et al. Association of p300 and CBP with simian virus 40 large Tantigen. Mol. Cell. Biol. 16, 3454-3464 (1996).
- Chowdary, D. R. et al. Accumulation of p53 in a mutant cell line defective in the ubiquitin pathway. Mol. Cell. Biol. 14, 1997-2003 (1994).
- Steegenga, W. T. et al. Adenovirus E1A proteins inhibit activation of transcription by p53. Mol. Cell. Biol. 16, 2101-2109 (1996).
- 5. Eckner, R. et al. Molecular cloning and functional analysis of the adenovirus E1A-associated 300-kD protein (p300) reveals a protein with properties of a transcriptional adaptor. Genes Dev. 8, 869-884
- Kern, S. E. et al. Oncogenic forms of p53 inhibit p53-regulated gene expression. Science 256, 827-830 (1992).
- 7. Stein, R. W. et al. Analysis of E1A mediated growth regulation functions binding of the 300-kilodalton cellular product correlates with E1A enhancer repression function and DNA synthesis-inducing activity. J. Virol. 64, 4421-4427 (1990).
- 8. Howe, J. A. & Bayley, S. T. Effects of Ad5 E1A mutant viruses on the cell cycle in relation to the binding of cellular proteins including the retinoblastoma protein and cyclin A. Virology 186, 15-24 (1992).
- El-Deiry, W. S. et al. WAF1, a potential mediator of p53 tumor suppression. Cell 75, 817-825 (1993).
- 10. Miyashita, T. & Reed, J. C. Tumor suppressor p53 is a direct transcriptional activator of the human bax gene. Cell 80, 293-299 (1995).
- 11. Yang, X. J. et al. A p300/CBP-associated factor that competes with the adenoviral oncoprotein E1A. Nature 382, 319-324 (1996).
- 12. Eckner, R. et al. Interaction and functional collaboration of p300/CBP and bHLH proteins in muscle and B-cell differentiation. Genes Dev. 10, 2478-2490 (1996)
- 13. Yao, T.-P. et al. The nuclear hormone receptor coactivator SRC-1 is a specific target of p300. Proc. Natl Acad. Sci. USA 93, 10626-10631 (1996)
- 14. Diller, L. et al. p53 functions as a cell cycle control protein in osteosarcomas. Mol. Cell. Biol. 10, 5772-5781 (1990). 15. Haupt, Y. et al. Induction of apoptosis in HeLa cells by transactivation-deficient p53. Genes Dev. 9.
- 2170-2183 (1995). 16. Chen, X. et al. p53 levels, functional domains, and DNA damage determine the extent of the apoptotic
- response of tumor cells. Genes Dev. 10, 2438-2451 (1996). 17. Bayley, S. T. & Mymryk, J. S. Adenovirus E1A proteins and transformation. Int. J. Oncol. 5, 425-444
- 18. Caporossi, D. & Bacchetti, S. Definition of the adenovirus type 5 functions involved in the induction
- of chromosomal aberrations in human cells. J. Gen. Virol. 71, 801-808 (1990). 19. Abraham, S. E. et al. p300, and p300-associated proteins, are components of TATA-binding protein (TBP) complexes. Oncogene 8, 1639-1647 (1993).
- 20. Ko, L. J. & Prives, C. p53: puzzle and paradigm. Genes Dev. 10, 1054-1072 (1996).
- 21. Baker, S. J. et al. Suppression of human colorectal carcinoma cell growth by wild-type p53. Science 249, 912-915 (1990).

- White, E. et al. Adenovirus E1B 19-kilodalton protein overcomes the cytotoxicity of E1A proteins. J. Virol. 65, 2968–2978 (1991).
- Kahana, J. & Silver, P. in Current Protocols in Molecular Biology (eds Ausubel, F. M. et al.) 9.7.22–9.7.28 (Greene and Wiley Interscience, New York, 1996).
- Zhu, L. et al. Inhibition of cell proliferation by p107, a relative of the retinoblastoma protein. Genes Dev. 7, 1111-1125 (1993).
- Ausubel, F. M. et al. Current Protocols in Molecular Biology (Greene and Wiley Interscience, New York, 1996).
- Krek, W., Livingston, D. M. & Shirodkar, S. Binding to DNA and the retinoblastoma gene product promoted by complex formation of different E2F family members. Science 262, 1557–1560 (1993).
- Chittenden, T., Livingston, D. M. & DeCaprio, J. A. Cell cycle analysis of E2F in primary human T cells reveals novel E2F complexes and biochemically distinct forms of free E2F. Mol. Cell. Biol. 13, 3975– 3983 (1993).
- Friedlander, P et al. A mutant p53 that discriminates between p53-responsive genes cannot induce apoptosis. Mol. Cell. Biol. 16, 4961–4971 (1996).
- Qin, X.-Q. et al. The transcription factor E2F-1 is a downstream target of RB action. Mol. Cell. Biol. 15, 742-755 (1995).
- Somasundaram, K. & El-Deiry, W. S. Inhibition of p53-mediated transactivation and cell cycle arrest by E1A through its p300/CBP-interacting region. Oncogene 14, 1047-1057 (1997).

Acknowledgements. We thank everyone who provided reagents used in this study; J. Kahana, P. Silver, C. Jost and W. G. Kaelin Jr for providing unpublished reagents; P. Adams, R. Eckner, M. Ewen, O. Iliopubles, S. Scully, T.-P. Yao and members of the Division of Neoplastic Disease Mechanisms for discussions; M. Modabber for graphics; and M. Simone for flow cytometry. This work was supported by grants from the American Cancer Society (N.L.L.), the Howard Hughes Medical Institute (S.R.G.), The Cancer Research Fund of the Damon Runyon—Walter Winchell Foundation (D.G.), the Dana-Farber/Sandoz Drug Discovery Program, and the National Cancer Institute (J. DeC. and D.M.L.).

Correspondence and requests for materials should be addressed to D.M.L. (e-mail: david\_livingston @dfci barvard edu).

# Structure of isopenicillin N synthase complexed with substrate and the mechanism of penicillin formation

Peter L. Roach\*, Ian J. Clifton\*, Charles M. H. H nsgens\*, Norio Shibata\*, Christopher J. Schofield\*, Janos Hajdu† & Jack E. Baldwin\*,

\* The Dyson Perrins Laboratory and the Oxford Centre of Molecular Sciences, University of Oxford, South Parks Road, Oxford OX1 3QY, UK

† Department of Biochemistry, Biomèdical Centre, Uppsala University, Box 576, S-751 23 Uppsala, Sweden

The biosynthesis of penicillin and cephalosporin antibiotics in microorganisms requires the formation of the bicyclic nucleus of penicillin<sup>1</sup>. Isopenicillin N synthase (IPNS), a non-haem irondependent oxidase, catalyses the reaction of a tripeptide, δ-(L-αaminoadipoyl)-L-cysteinyl-D-valine (ACV), and dioxygen to form isopenicillin N and two water molecules<sup>2</sup>. Mechanistic studies suggest the reaction is initiated by ligation of the substrate thiolate to the iron centre, and proceeds through an enzymebound monocyclic intermediate<sup>3,4</sup> (Fig. 1). Here we report the crystal structure of IPNS complexed to ferrous iron and ACV, determined to 1.3 Å resolution. Based on the structure, we propose a mechanism for penicillin formation that involves ligation of ACV to the iron centre, creating a vacant iron coordination site into which dioxygen can bind. Subsequently, iron-dioxygen and iron-oxo species remove the requisite hydrogens from ACV without the direct assistance of protein residues (Fig. 2). The crystal structure of the complex with the dioxygen analogue, NO and ACV bound to the active-site iron supports this

Spectroscopic studies of IPNS in the resting state have suggested the presence of two or three histidines, an aspartate and possibly two water molecules as metal ligands<sup>5,6</sup>. The crystal structure<sup>7</sup> of Aspergillus nidulans IPNS complexed with divalent manganese (substituting for iron) revealed a metal ion octahedrally coordinated by His 214, Asp 216, His 270, Gln 330 and two water molecules (Fig. 3a). Under aerobic conditions, crystals of IPNS bound with both iron and ACV could not be obtained owing to instability

and turnover problems. Crystals of IPNS complexed to ferrous iron and ACV were therefore grown under anaerobic conditions<sup>8</sup>.

The Fe(II): ACV: IPNS structure has one protein molecule with ferrous ion and ACV bound at the active site in the asymmetric unit (Fig. 3b). Substrate binding does not distort the 'jelly-roll' core of the enzyme, in which the iron and ACV are enclosed. The side chain of Gln 330, which coordinates the metal in the absence of substrate, is replaced by the ACV thiolate. In the Fe(II): ACV: IPNS complex, the seven carboxy-terminal residues adopt a conformation that extends the final helix ( $\alpha$ -10) relative to the Mn: IPNS structure and encloses the substrate in the active site.

The ACV is anchored within the active site by ligation of its thiolate to the iron centre and through its two carboxylate groups (Fig. 4a). One of the two water molecules ligating the metal ion in the Mn: IPNS complex is displaced, changing the metal coordination geometry from octahedral to square pyramidal (Fig. 2:  $4 \rightarrow 5$ ). In the substrate complex, three of the five coordination sites are filled with protein ligands: His 214, His 270 and Asp 216 (ref. 9). The remaining two sites are occupied by a water molecule (at position 398) and the ACV thiolate. The valine isopropyl group is held in van der Waals contact with the iron by interactions with Leu 231, Val 272, Pro 283 and Leu 223. The presence of the substrate-derived valine methyl group in the coordination site trans to Asp 216 prevents a water molecule from binding. Remarkably, the valine BC-H bond, which is cleaved during the formation of the thiazolidine ring, is directed away from the iron centre. The pentacoordiante and high-spin nature of the Fe(II): ACV: IPNS complex, and the displacement of one water molecule on ACV binding, were previously inferred from spectroscopic studies5,6,10.

The aminoadipoyl residue of ACV lies in an extended conformation, as predicted from analogue studies<sup>1,3,11</sup>, and its carboxylate makes a salt bridge with Arg 87, replacing that formed between the C-terminal carboxylate (Thr 331) and Arg 87 in the Mn:IPNS structure<sup>12</sup>.

The carboxylate of the valine residue of ACV is prevented from coordinating to the iron centre by a hydrogen-bonding network. Before ACV binds, the side chain of Arg 279 points out of the active site towards the exterior of the enzyme (Fig. 3a), but in the Fe(II): ACV: IPNS structure (Figs 3b and 4a), Arg 279 is directed into the active-site cavity by forming a hydrogen bond to the valine carboxylate of ACV through a bridging water molecule (labelled as

Figure 1 The IPNS reaction pathway through the proposed enzyme (enz)-bound monocyclic intermediate (2). AA, L-8-{\alpha-aminoadipoyl\).